Spin coherent-state path integrals and the instanton calculus^{a)}

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We use an instanton approximation to the continuous-time spin coherent-state path integral to obtain the tunnel splitting of classically degenerate ground states. We show that provided the fluctuation determinant is carefully evaluated, the path integral expression is accurate to order O(1/j). We apply the method to the LMG model and to the molecular magnet Fe₈ in a transverse field. © 2003 American Institute of Physics. [DOI: 10.1063/1.1521797]

I. INTRODUCTION

One of the most convincing demonstrations of quantum effects in a near-macroscopic system is provided by the dramatic oscillation¹ of the level splittings in the molecular magnet Fe₈ as function of an external magnetic field. This system is small enough that one can obtain all the energy levels by a trivial numerical diagonalization of a 21×21 Hamiltonian matrix, but little insight into the phenomenon can be obtained this way. However, by thinking of the spin vector as an almost classical object, the oscillations can be understood as quantum interference between competing tunneling paths for the large (J=10) spin between two classically degenerate minima.

The natural tool for studying tunneling in the semiclassical limit is the path integral. For spin this should be the spin [SU(2)] coherent-state path integral,^{2,3} or its phase space relative.^{4,5} It is easy to establish that this formalism gives a good qualitative description of the tunnelling process—⁶⁻⁸ including the dramatic topological quenching of the tunneling⁹ that makes the Fe₈ results so interesting. Unfortunately, a straightforward application of the spin coherent-state path integral to compute the semiclassical propagator¹⁰ or the tunnel splitting¹¹ yields results that are incorrect beyond the leading exponential order. In other words, the first quantum corrections as $J \rightarrow \infty$ are incorrectly obtained.

This issue appears for other systems that involve, or can be modeled in terms of, large-*J* quantum mechanical spins. Examples include molecular rotors,^{12,13} the Lipkin–Meshov–Glick model of certain collective excitations in nuclei,^{14,15} and superdeformed rotating nuclei.¹⁶ The large spin limit is also valuable as an approximate method for studying magnetic ordering^{17,18} including "order from disorder" effects in such systems.¹⁹ In all these cases the first quantum corrections are not known. Often they are fixed by heuristic or *ad hoc* considerations. Lieb²⁰ puts

^{a)}This paper is dedicated to the memory of Victor Belinicher, who was lost when Siberia Airlines flight 1812 was shot down over the Black Sea, Oct. 4th, 2001. Victor made many contributions to physics, in particular to the spin tunnelling problem.

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rigorous bounds on the partition function of quantum spin systems, but he does not determine the 1/J corrections precisely.

In principle, a correct evaluation of the general spin propagator in the semiclassical limit should resolve all these difficulties. This propagator is a notoriously refractory object, however, and its parent, the spin path integral, has a reputation for being mathematically ill defined—or at least harder to deal with than the conventional Feynman path integral, whose mathematical subtleties have been well studied. Many authors have therefore sought other ways of attaining the semiclassical limit, but none applies to general Hamiltonians. For the calculation of spin tunnel splittings, although there do exist other path integral approaches which solve particular problems correctly,^{21,22} the resulting calculations tend to be intricate, and the simplicity seen in the conventional Schrödinger particle case is lost. Further, they do not lead to generally applicable recipes.

Recently, however, it has begun to be appreciated that the problem with the spin coherent state calculation is simply that the fluctuation determinant has an "anomaly," and that, once the "extra phase" provided by the anomaly is taken into account, the coherent state path integral gives correct answers. This extra phase seems to have been originally discovered in the 1980s by Solari,²³ but the significance of his result was not widely appreciated. It was then rediscovered by one of the present authors²⁴ and also by Vieira and Sacramento.²⁵ The interpretation of the extra phase as an "anomaly" is due to the remaining authors of the present article.²⁶

The present article is another step in the larger program of developing the spin semiclassical limit. The discussions of the extra phase cited in the previous paragraph were restricted to the case of quantum evolution between generic values of the classical degrees of freedom. However, when we calculate the tunnel splitting, the endpoints of the instanton path lie at local minima of the classical energy and, just as in the Schrödinger particle case, the Jacobi fluctuation operator has a zero mode which makes the inverse of its determinant singular and the general formula for the propagator inapplicable. Thus our earlier work was not directly amenable to calculating the tunnel splitting. The present article fills this gap.

In the next section we provide a brief review of the spin coherent-state path integral, including the correction to the fluctuation determinant prefactor. In Secs. III and IV we discuss the complications that ensue when there is a zero mode and provide a general formula for the one-instanton contribution to the tunneling amplitude. In Sec. V we apply this formula to the relatively simple case of the Lipkin–Meshkov–Glick (LMG) model,¹⁴ and in Sec. VI we evaluate the tunnel splitting for a realistic model of Fe₈.

As explained above, our aim is not to find formulas for the energy splittings that can be compared with experiment. After all, the splittings for both model Hamiltonians can easily be found numerically for moderate values of J, say $J \le 20$.²⁷ Instead we are using these models as nontrivial test cases. It is our hope that our methods will prove practical in other situations—multispin problems, for example—where numerical work is not so easy.

II. SPIN COHERENT STATES

We follow the conventions in Ref. 26 and define our spin coherent states²⁸ to be

$$|z\rangle = \exp(z\hat{J}_{+})|j,-j\rangle, \qquad (2.1)$$

where $|j, -j\rangle$ is the lowest spin state in the 2j+1-dimensional representation of SU(2) and \hat{J}_+ is the spin algebra ladder operator obeying

$$\hat{J}_{+}|j,m\rangle = \sqrt{j(j+1) - m(m+1)}|j,m+1\rangle.$$
(2.2)

The variable z is a stereographic coordinate on the unit sphere with z=0 at the south pole (spin down direction) and $z=\infty$ at the north pole (spin up).

These coherent states are not normalized, but depend holomorphicly on z. This means that matrix elements such as $\langle z' | \hat{O} | z \rangle$ are holomorphic functions of the variable z, and anti-holomorphic functions of the variable z'.

The inner product of two coherent states is

$$\langle z'|z \rangle = (1 + \overline{z}'z)^{2j}, \tag{2.3}$$

and they satisfy the overcompleteness relation

$$\mathbf{1} = \frac{2j+1}{\pi} \int \frac{d^2 z}{(1+\bar{z}z)^{2j+2}} |z\rangle \langle z|.$$
(2.4)

Here d^2z is shorthand for dx dy. The factor $1/(1 + \overline{z}z)^2$ combines with this to make the invariant measure on the two-sphere. The remaining factor in the integration measure, $1/(1 + \overline{z}z)^{2j}$, serves to normalize the coherent states.

We may use the overcompleteness relation to derive a formal continuous-time path integral representation for the propagator

$$K(\overline{\zeta}_f, \zeta_i, T) = \langle \zeta_f | e^{-iHT} | \zeta_i \rangle.$$
(2.5)

We insert N intermediate overcompleteness relations into (2.5) and consider the limit $N \rightarrow \infty$. This leads to the path integration formula²⁴

$$K(\overline{\zeta}_f, \zeta_i, T) = \int_{\zeta_i}^{\overline{\zeta}_f} d\mu(\overline{z}, z) \exp\{S(\overline{z}(t), z(t))\},$$
(2.6)

where the path measure $d\mu$ is

$$d\mu(\bar{z}(t), z(t)) = \lim_{N \to \infty} \prod_{n=1}^{N} \frac{2j+1}{\pi} \frac{d^2 z_n}{(1+\bar{z}_n z_n)^2},$$
(2.7)

and the action $S(\overline{z}(t), z(t))$ is

$$S(\overline{z}(t), z(t)) = j\{\ln(1 + \overline{\zeta}_f z(T)) + \ln(1 + \overline{z}(0)\zeta_i)\} + \int_0^T \left\{ j \frac{\dot{z}z - \overline{z}\dot{z}}{1 + \overline{z}z} - iH(\overline{z}, z) \right\} dt.$$
(2.8)

The c-number Hamiltonian, $H(\overline{z}, z)$, is obtained from the operator \hat{H} by

$$H(\bar{z},z) = \langle z | \hat{H} | z \rangle / \langle z | z \rangle.$$
(2.9)

The paths z(t), $\overline{z}(t)$ obey the boundary conditions $z(0) = \zeta_i$, $\overline{z}(T) = \overline{\zeta}_f$, but $\overline{z}(0)$, z(T), being actually $\overline{z}(0+\epsilon)$ and $z(T-\epsilon)$, are unconstrained, and are to be integrated over.²⁴

The manipulations leading to the continuous time path integral are heuristic, but with careful treatment the formal path integral should be as useful as the familiar configuration space Feynman path integral. In particular the semiclassical, or large j, propagator can be obtained from a stationary phase approximation to the path integral.²⁶

The stationary phase approximation requires us to seek "classical" trajectories for which *S* remains stationary as we vary the functions z(t) and $\overline{z}(t)$. These stationary paths will generally be complex. If we write z as x+iy and $\overline{z}=x-iy$, then, except in special cases, x and y are not real numbers. In particular there is no requirement that $\overline{z}(0)$ be the complex conjugate of $z(0) \equiv \zeta_i$, nor that z(T) be the complex conjugate of $\overline{z}(T) \equiv \overline{\zeta}_f$. Bearing this in mind, we make variations about a chosen path, and keep track of all boundary contributions resulting from integrations by parts. We find that

$$\delta S = \frac{2jz(T)}{1 + \overline{\zeta}_f z(T)} \,\delta \overline{z}(T) + \frac{2j\overline{z}(0)}{1 + \overline{z}(0)\zeta_i} \,\delta z(0) \\ + \int_0^T \left\{ \delta z(t) \left(\frac{2j\overline{z}}{(1 + \overline{z}z)^2} - i\frac{\partial H}{\partial z} \right) + \delta \overline{z}(t) \left(-\frac{2j\overline{z}}{(1 + \overline{z}z)^2} - i\frac{\partial H}{\partial \overline{z}} \right) \right\} dt.$$
(2.10)

Demanding that this change in the action be zero requires the trajectory to obey Hamilton's equations

$$\dot{z} = i \frac{(1+\bar{z}z)^2}{2j} \frac{\partial H}{\partial z}, \quad \dot{z} = -i \frac{(1+\bar{z}z)^2}{2j} \frac{\partial H}{\partial \bar{z}}, \tag{2.11}$$

together with the conditions $\delta_{\overline{z}}(0) = 0$ and $\delta_{\overline{z}}(T) = 0$. We can therefore impose the boundary conditions $z(0) = \zeta_i$, $\overline{z}(T) = \overline{\zeta}_f$, but $\overline{z}(0)$ and z(T) are free to vary, and so are determined by the equations of motion. This is important because Hamilton's equations are first order in time and we cannot simultaneously impose initial and final conditions on their solutions.

The dynamically determined endpoints can also be read off from the Hamilton-Jacobi relations that follow from (2.10). These are

$$\frac{\partial S_{\rm cl}}{\partial \overline{\zeta}_f} = \frac{2jz(T)}{1 + \overline{\zeta}_f z(T)}, \quad \frac{\partial S_{\rm cl}}{\partial \zeta_i} = \frac{2j\overline{z}(0)}{1 + \overline{z}(0)\zeta_i}.$$
(2.12)

The Hamilton-Jacobi relations also tell us that

$$\frac{\partial S_{\rm cl}}{\partial \overline{\zeta}_i} = \frac{\partial S_{\rm cl}}{\partial \zeta_f} = 0, \tag{2.13}$$

showing that S_{cl} is a holomorphic function of ζ_i , and an anti-holomorphic function of ζ_f . These analyticity properties of S_{cl} coincide with those of K. This is reasonable since exp S_{cl} is the leading approximation to K, and we would expect analyticity to be preserved term-by-term in the large jexpansion. Finally, we have the Hamilton-Jacobi equation

$$\frac{\partial S_{\rm cl}}{\partial T} = -iH(\overline{\zeta}_f, z(T)). \tag{2.14}$$

In Ref. 26 we showed that after we compute the Gaussian integral over small fluctuations about the stationary phase path the resulting semiclassical approximation to the propagator is

$$K_{\rm scl}(\overline{\zeta}_f,\zeta_i,T) = \left(\frac{(1+\overline{\zeta}_f z(T))(1+\overline{z}(0)\zeta_i)}{2j} \frac{\partial^2 S_{\rm cl}}{\partial \zeta_i \partial \overline{\zeta}_f}\right)^{1/2} \exp\left\{S_{\rm cl}(\overline{\zeta}_f,\zeta_i,T) + \frac{i}{2} \int_0^T \phi_{\rm SK}(t)dt\right\},\tag{2.15}$$

or a sum of such terms over a set of contributing classical paths. In this expression

$$\phi_{\rm SK}(\overline{z},z) = \frac{1}{2} \left(\frac{\partial}{\partial \overline{z}} \frac{(1+\overline{z}z)^2}{2j} \frac{\partial H}{\partial z} + \frac{\partial}{\partial z} \frac{(1+\overline{z}z)^2}{2j} \frac{\partial H}{\partial \overline{z}} \right)$$
(2.16)

is the "extra-phase" discovered by Solari, Kochetov, and Vieira and Sacramento.

The form (2.15) is valid only if the prefactor is finite. When we compute instanton contributions to tunneling there is a zero mode in the quadratic form for small fluctuations, and the

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resulting divergent integral over this mode is to be replaced by an integral over a collective coordinate labeling the instant that the tunneling event occurred. This we will describe in the next section.

We conclude this section by writing the Solari-Kochetov phase in an alternative way that will prove useful later. We first write

$$\phi_{\rm SK} = \phi_{\rm SK}' - ia_{\rm WZ},\tag{2.17}$$

where

$$\phi_{\rm SK}' = \frac{(1+\bar{z}z)^2}{2j} \frac{\partial^2 H}{\partial z \,\partial \bar{z}},\tag{2.18}$$

$$a_{\rm WZ} = i \frac{1 + \overline{z}z}{2j} \left(z \frac{\partial H}{\partial z} + \overline{z} \frac{\partial H}{\partial \overline{z}} \right). \tag{2.19}$$

Along the classical trajectory, the equations of motion allow us to trade in the partial derivatives $\partial H/\partial z$ and $\partial H/\partial \overline{z}$ for \dot{z} and \dot{z} , so that

$$a_{\rm WZ}(\tau) = \frac{\bar{z}_{\rm cl} z_{\rm cl} - \bar{z}_{\rm cl} \bar{z}_{\rm cl}}{1 + \bar{z}_{\rm cl} z_{\rm cl}}.$$
(2.20)

This is nothing but the Wess–Zumino or kinetic term in the classical action, and was anticipated in our notation. Hence,

$$\frac{i}{2} \int_0^T \phi_{\rm SK}(t) dt = \frac{1}{2} \int_0^T a_{\rm WZ}(t) dt + \frac{i}{2} \int_0^T \phi_{\rm SK}'(t) dt.$$
(2.21)

The advantage of this rewriting is that the integral of $a_{\rm WZ}$ is needed to find $S_{\rm cl}$ anyway, and it is generally easier to integrate $\phi'_{\rm SK}$ than $\phi_{\rm SK}$. In fact, $\phi'_{\rm SK}$ is essentially the Laplacian of the energy on the unit sphere,²⁹

$$\phi_{\rm SK}' = \frac{1}{2j} \nabla_{\Omega}^2 H. \tag{2.22}$$

III. DEALING WITH THE ZERO MODE

As is usual in calculating tunneling effects, it is convenient to perform the computations in Euclidean (imaginary) time. For the sake of symmetry we will take the time evolution as running from -T/2 to T/2 and the propagator (2.15) becomes

$$K(\overline{\zeta}_f, \zeta_i, T) = [D(T)]^{-1/2} \exp\left\{S_{\rm cl} + \frac{1}{2} \int_{-T/2}^{T/2} \phi_{\rm SK} d\tau\right\},\tag{3.1}$$

where again ϕ_{SK} is the integrand of the Solari–Kochetov phase

$$\phi_{\rm SK} = \frac{1}{2} \left(\frac{\partial}{\partial \overline{z}} \frac{(1 + \overline{z}z)^2}{2j} \frac{\partial H}{\partial z} + \frac{\partial}{\partial z} \frac{(1 + \overline{z}z)^2}{2j} \frac{\partial H}{\partial \overline{z}} \right), \tag{3.2}$$

evaluated along $z_{cl}(\tau)$, $\overline{z}_{cl}(\tau)$, and D(T) is the fluctuation determinant. The latter may be found by the "shooting method." As explained in Ref. 26, this involves solving the equation

$$\hat{L}\Psi_{L} \equiv \begin{bmatrix} B(\tau) & -\partial_{\tau} + A(\tau) \\ \partial_{\tau} + A(\tau) & \overline{B}(\tau) \end{bmatrix} \begin{pmatrix} \psi_{L} \\ \overline{\psi}_{L} \end{pmatrix} = 0,$$
(3.3)

$$A = \phi_{SK} = \frac{1}{2} \left(\frac{\partial}{\partial \overline{z}} \frac{(1 + \overline{z}z)^2}{2j} \frac{\partial H}{\partial z} + \frac{\partial}{\partial z} \frac{(1 + \overline{z}z)^2}{2j} \frac{\partial H}{\partial \overline{z}} \right),$$

$$B = \frac{\partial}{\partial \overline{z}} \frac{(1 + \overline{z}z)^2}{2j} \frac{\partial H}{\partial \overline{z}},$$

$$\overline{B} = \frac{\partial}{\partial z} \frac{(1 + \overline{z}z)^2}{2i} \frac{\partial H}{\partial z},$$
(3.4)

with the initial condition

$$\Psi_L(-T/2) = \begin{pmatrix} \psi_L \\ \bar{\psi}_L \end{pmatrix}_{-T/2} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}.$$
(3.5)

Given the solution of this equation, we read off the determinant as $D(T) = \overline{\psi}_L(T/2)$. In real time, and when there are no problems with zero modes, this recipe leads to the prefactor appearing in (2.15).

Now assume that the coherent states $|z_i\rangle$ and $|z_f\rangle$ represent spins pointing along the directions of two equal-energy global minima of the Hamiltonian \hat{H} . Because the gradient of the energy vanishes at both ends, the classical path joining z_i to z_f has the character of an instanton: as the total time taken to traverse the path becomes longer and longer most of the motion still takes place in an "instant," a fixed period short in duration compared to the total. When T becomes infinite, the epoch of this "instant" is arbitrary and this leads to a zero-eigenvalue mode in the fluctuation operator. Thus D(T) is formally zero. The problem of dividing by the square root of zero is avoided by introducing a collective coordinate for the tunneling epoch, and the formal infinity in the one-instanton contribution to the propagator becomes a factor of T.

The classical instanton solution can be written $z_{cl}(\tau - \tau_0)$, $\overline{z}_{cl}(\tau - \tau_0)$ where τ_0 is the epoch at which the tunneling occurs. Since, in the large T limit, the action for the tunneling event is independent of τ_0 , the normalized zero mode is

$$\Psi_0 = \begin{pmatrix} \psi_0(\tau) \\ \overline{\psi}_0(\tau) \end{pmatrix} = \frac{\sqrt{g}}{1 + \overline{z}_{cl} z_{cl}} \begin{pmatrix} \dot{z}_{cl}(\tau) \\ \dot{z}_{cl}(\tau) \end{pmatrix},$$
(3.6)

where g is chosen to make

$$\int_{-T/2}^{T/2} \Psi_0^t \Psi_0 d\tau = \int_{-T/2}^{T/2} (\psi_0^2 + \bar{\psi}_0^2) d\tau = 1.$$
(3.7)

The divergent Gaussian integration over the coefficient of the zero mode is replaced by an integral over possible tunneling epochs τ_0 by inserting a factor of

$$1 = \frac{1}{\sqrt{2\pi\alpha}} \int_{-T/2}^{T/2} d\tau_0 \left(\frac{\partial \mathcal{F}}{\partial \tau_0}\right) \exp\left(-\frac{1}{2\alpha} \mathcal{F}^2(\tau_0)\right)$$
(3.8)

into the path integral, with the choice

$$\mathcal{F}(\tau_0) = \int_{-T/2}^{T/2} d\tau' \frac{1}{1 + \bar{z}_{cl} z_{cl} (\tau' - \tau_0)} \Psi_0^t (\tau' - \tau_0) \binom{z(\tau')}{\bar{z}(\tau')},$$
(3.9)

and then proceeding in a manner similar to that used for quantum mechanical instantons in the Feynman path integral:^{30,31} we first set $z = z_{cl}(\tau - \tau_0) + \delta z(\tau - \tau_0)$ and similarly \overline{z} . Next, after

observing that everything depends only on the combination $\tau - \tau_0$, we change variables $\tau - \tau_0 \rightarrow \tau$. The integral over τ_0 is then trivial and gives a factor of *T*. Meanwhile, after an integration by parts and ignoring the fuctuations of (z,\overline{z}) about $(z_{cl},\overline{z}_{cl})$ which are of higher order, the Jacobian factor becomes

$$\frac{\partial \mathcal{F}}{\partial \tau_0} = \int_{-T/2}^{T/2} d\tau' \Psi_0^t \frac{1}{1 + \overline{z}_{cl} z_{cl}} \left(\frac{\dot{z}_{cl}(\tau')}{\dot{z}_{cl}(\tau')} \right) = \frac{1}{\sqrt{g}}.$$
(3.10)

The quadratic term in the exponent is a projector onto the zero mode and replaces the vanishing eigenvalue by $1/2j\alpha$. The net result is the replacement

$$[D(T)]^{-1/2} \rightarrow T \sqrt{\frac{j}{\pi g}} \left[\frac{D(T)}{\lambda_0} \right]^{-1/2}, \qquad (3.11)$$

where $\lambda_0(T)$ is the eigenvalue that vanishes as T becomes large.

The desired ratio, $\text{Det}'(\hat{L}) = D(T)/\lambda_0$, is equal to $\bar{\psi}_L(T/2)/\lambda_0(T)$. We now turn to the evaluation of this ratio. As we shall see, we will not have to obtain $\bar{\psi}_L(T/2)$ and $\lambda_0(T)$ separately.

The eigenvalue problem is

$$\hat{L}\Psi_{\lambda} = \lambda \Psi_{\lambda}; \quad \Psi_{\lambda} = \begin{pmatrix} \psi_{\lambda} \\ \overline{\psi}_{\lambda} \end{pmatrix}, \qquad (3.12)$$

where \hat{L} is the same operator as in (3.3), but with boundary conditions $\psi_{\lambda}(-T/2) = \bar{\psi}_{\lambda}(T/2) = 0$.

For finite *T* the shooting method solution, Ψ_L , is close to, but not quite equal to, the "smalleigenvalue" eigenfunction, Ψ_{λ_0} . Although Ψ_L obeys the boundary condition at $\tau = -T/2$, it does not quite obey the boundary condition at $\tau = +T/2$. In turn Ψ_{λ_0} is close to, but not quite equal to, the infinite-*T* zero-eigenvalue mode, Ψ_0 .

Now Ψ_0 obeys the equation $\hat{L}\Psi_0 = 0$, but no particular boundary conditions at $\pm T/2$. There is a second solution of this equation, $\Xi_0 = (\xi_0, \overline{\xi}_0)^t$. The Wronskian of these solutions

$$W(\Psi, \Xi) = \begin{vmatrix} \psi_0(\tau) & \xi_0(\tau) \\ \overline{\psi}_0(\tau) & \overline{\xi}_0(\tau) \end{vmatrix}$$
(3.13)

is independent of τ . Next we observe that the differential equation (3.12) can be converted to an integral equation

$$\Psi_{\lambda}(\tau) = \Psi_{L}(\tau) + \lambda \int_{-T/2}^{\tau} d\tau' G(\tau, \tau') \Psi_{\lambda}(\tau')$$
$$= \Psi_{L}(\tau) + \frac{\lambda}{W} \int_{-T/2}^{\tau} d\tau' [\Psi_{0}(\tau) \Xi_{0}^{t}(\tau') - \Xi_{0}(\tau) \Psi_{0}^{t}(\tau')] \Psi_{\lambda}(\tau').$$
(3.14)

Since $\Psi_L(\tau)$ obeys the boundary conditions at -T/2, and the integral vanishes at this point, we can find the eigenvalues λ by requiring that the lower component of Ψ_{λ} vanishes at $\tau = T/2$. We are only interested in solutions where $\lambda = \lambda_0$ is very small. Because of this we can approximate the $\Psi_{\lambda}(\tau')$ appearing in the integral in (3.14) by the zeroth-order solution, Ψ_L . In this way we see that

$$\frac{\bar{\psi}_L(T/2)}{\lambda_0(T)} = -\frac{1}{W} \int_{-T/2}^{T/2} d\tau [\bar{\psi}_0(T/2)\Xi_0^t(\tau) - \bar{\xi}_0(T/2)\Psi_0^t(\tau)]\Psi_L(\tau).$$
(3.15)

The integral in (3.15) may be evaluated using only the asymptotic behavior of Ψ_0 and Ξ_0 , which involve z_{cl} and \overline{z}_{cl} . This asymptotic behavior depends only on the form of the Hamiltonian in the neighborhood of the endpoints.

In all cases we consider the instanton solutions have the property that $\bar{z}_{cl} = z_{cl}^*$ at their endpoints. Here the asterisk denotes a true complex conjugate as opposed to the formal conjugate denoted by the bar. The coincidence of the formal and true conjugate occurs because these endpoints lie on the real unit sphere.³² Taking this observation into account, we parametrize the Hamiltonian in the vicinity of the initial stationary point in terms of two frequencies, $\omega_{1,2}$, as

$$H(\overline{z},z) \approx \frac{2j}{(1+z_i^* z_i)^2} \bigg[\omega_1(z-z_i)(\overline{z}-z_i^*) + \frac{1}{2} \omega_2(z-z_i)^2 + \frac{1}{2} \omega_2^*(\overline{z}-z_i^*)^2 \bigg].$$
(3.16)

Since $H(\bar{z}, z)$ is real, so is ω_1 . Also, because the initial point is an energy minimum, we must have $\omega_1 > |\omega_2|$. We can therefore define a real mean frequency, ω , by

$$\omega^2 \equiv \omega_1^2 - \omega_2 \omega_2^*. \tag{3.17}$$

A similar expression holds at z_f with the same values of ω_1 and ω_2 provided the degeneracy in the Hamiltonian is due to some symmetry. (There might be an extra phase factor in ω_2 , but this makes no difference to the subsequent calculation.)

As τ becomes large and negative, $B \rightarrow \omega_2$, $\overline{B} \rightarrow \omega_2^*$ and $A = \phi_{SK} \rightarrow \omega_1$, so we see that

$$\begin{pmatrix} \psi_0 \\ \overline{\psi}_0 \end{pmatrix} \rightarrow \begin{pmatrix} \psi_{0-} \\ \overline{\psi}_{0-} \end{pmatrix} e^{\omega\tau}; \quad \begin{pmatrix} \xi_0 \\ \overline{\xi}_0 \end{pmatrix} \rightarrow \begin{pmatrix} \xi_{0-} \\ \overline{\xi}_{0-} \end{pmatrix} e^{-\omega\tau},$$
 (3.18)

where

$$\begin{bmatrix} \omega_2 & -\omega + \omega_1 \\ \omega + \omega_1 & \omega_2^* \end{bmatrix} \begin{pmatrix} \psi_{0-} \\ \overline{\psi}_{0-} \end{pmatrix} = 0.$$
(3.19)

There is an analogous relation for $(\xi_{0-}, \overline{\xi}_{0-})^t$. We can use the Wronskian to connect Ψ_{0-} with Ξ_{0-} , so everything can be expressed in terms of W and the normalization g. Similar remarks apply to Ψ_{0+} and Ξ_{0+} . If we write

$$\begin{pmatrix} \psi_L\\ \bar{\psi}_L \end{pmatrix} = \alpha \begin{pmatrix} \psi_0\\ \bar{\psi}_0 \end{pmatrix} + \beta \begin{pmatrix} \xi_0\\ \bar{\xi}_0 \end{pmatrix}, \qquad (3.20)$$

and apply the boundary condition at -T/2, we can find α and β , and hence

$$\begin{pmatrix} \psi_L(\tau) \\ \overline{\psi}_L(\tau) \end{pmatrix} = \frac{1}{W} \left[-\xi_{0-} e^{\omega T/2} \begin{pmatrix} \psi_0(\tau) \\ \overline{\psi}_0(\tau) \end{pmatrix} + \psi_{0-} e^{-\omega T/2} \begin{pmatrix} \overline{\xi}_0(\tau) \\ \overline{\xi}_0(\tau) \end{pmatrix} \right].$$
(3.21)

Inserting this into (3.15) and noting that the $\psi_0 \ \overline{\psi}_0$ terms dominate, we find

$$\frac{\bar{\psi}_L(T/2)}{\lambda_0(T)} = -\frac{1}{W^2} \xi_{0-} \bar{\xi}_{0+} e^{\omega T} \int_{-T/2}^{T/2} (\psi_0^2 + \bar{\psi}_0^2) d\tau, \qquad (3.22)$$

or

$$\frac{\bar{\psi}_L(T/2)}{\lambda_0(T)} = \frac{|\omega_2|^2}{\psi_{0-}\bar{\psi}_{0+}} \frac{e^{\omega T}}{4\omega^2}.$$
(3.23)

Thus the one-instanton contribution to the propagator is

$$K(\overline{z}_{f}, z_{i}, T) = \exp\left\{S_{\rm cl} + \frac{1}{2}\int_{-T/2}^{T/2}\phi_{\rm SK}d\tau\right\}\sqrt{\frac{j}{\pi g}}\left[\frac{\psi_{0-}\overline{\psi}_{0+}}{|\omega_{2}|^{2}}\right]^{1/2}(2\,\omega Te^{-(1/2)\,\omega T}).$$
(3.24)

Note that ψ_0 , $\overline{\psi}_0$ are proportional to \sqrt{g} , thus \sqrt{g} drops out and we can simply put g=1 in the sequel. Let

$$\begin{aligned} \dot{z}_{\rm cl} &\approx \omega \zeta_{-} e^{\,\omega \tau}, \quad \tau \to -\infty, \\ \dot{\bar{z}}_{\rm cl} &\approx \omega \overline{\zeta}_{+} e^{-\,\omega \tau}, \quad \tau \to +\infty. \end{aligned} \tag{3.25}$$

Then

$$\psi_{0-}\overline{\psi}_{0+} = \frac{\omega^2 \zeta_-\overline{\zeta}_+}{N} \tag{3.26}$$

with

$$N = (1 + \bar{z}_i z_i)(1 + \bar{z}_f z_f). \tag{3.27}$$

Using this we can write

$$K(\bar{z}_f, z_i, T) = \exp\left\{S_{\rm cl} + \frac{1}{2} \int_{-T/2}^{T/2} \phi_{\rm SK} d\tau\right\} \sqrt{\frac{j}{\pi N}} \left[\frac{\bar{\zeta}_+ \zeta_-}{|\omega_2|^2}\right]^{1/2} (2\,\omega^2 T e^{-(1/2)\,\omega T}).$$
(3.28)

IV. EXTRACTING THE ENERGY SPLITTING

Again assume that the coherent states $|z_i\rangle$ and $|z_f\rangle$ represent spins pointing along the directions of two equal energy global minima of the Hamiltonian \hat{H} . Let $|\psi_{i,f}\rangle$ be the approximate (tunneling-ignored) energy eigenstates localized near these minima. These should have their phases chosen so that when tunneling *is* included the eigenstates become the linear combinations

$$|\psi_{\pm}\rangle = \frac{1}{\sqrt{2}} (|\psi_i\rangle \pm |\psi_f\rangle). \tag{4.1}$$

If the energies of these states are

$$E_{\pm} = E_{\rm av} \pm \frac{1}{2}\Delta, \tag{4.2}$$

and $a_{\alpha} \equiv \langle z_{\alpha} | \psi_{\alpha} \rangle$, then as T becomes large the coherent-state propagator,

$$K(\overline{z}_f, z_i, T) = \langle z_f | e^{-\hat{H}T} | z_i \rangle, \qquad (4.3)$$

is given by

$$K(\bar{z}_{f}, z_{i}, T) \approx a_{f}a_{i}^{*}e^{-E_{av}T}\sinh\left(\frac{1}{2}\Delta T\right),$$

$$= a_{f}a_{i}^{*}e^{-E_{av}T}\left(\frac{1}{2}\Delta T + \frac{1}{6}\frac{\Delta^{3}T^{3}}{2^{3}} + \cdots\right).$$
 (4.4)

We will find the energy splitting, Δ , by evaluating K in the one-instanton approximation and comparing with this expression.

It is necessary to find expressions for the amplitudes a_i and a_f . These are obtained by looking at

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$$K_f = \langle z_f | e^{-\hat{H}T} | z_f \rangle \approx |a_f|^2 e^{-E_{\text{av}}T},$$
(4.5)

and

$$K_i = \langle z_i | e^{-\hat{H}T} | z_i \rangle \approx |a_i|^2 e^{-E_{av}T}, \qquad (4.6)$$

both evaluated in the harmonic approximation. This evaluation is performed in the Appendix. This results in

$$K_f = (1 + \overline{z}_f z_f)^{2j} \sqrt{\frac{2\omega}{\omega + \omega_1}} e^{-(1/2)(\omega - \omega_1)T}$$

$$(4.7)$$

and a similar expression for K_i . Thus

$$\frac{1}{2}\Delta = \frac{e^{S_{\rm cl} + (1/2)\int_{-T/2}^{T/2}(\phi_{\rm SK} - \omega_1)d\tau}}{\left[(1 + \bar{z}_f z_f)^j(1 + \bar{z}_i z_i)^j\right]} \sqrt{\frac{j}{\pi N}} \left[2\omega(\omega + \omega_1)\right]^{1/2} \omega \left[\frac{\bar{\zeta} + \zeta_-}{|\omega_2|^2}\right]^{1/2}.$$
(4.8)

Now

$$\frac{2\omega(\omega+\omega_1)}{\omega_2^2} = \frac{2\omega}{\omega_1 - \omega}$$
(4.9)

so finally

$$\Delta = 2\omega\sqrt{P}e^{I},\tag{4.10}$$

where

$$P = \frac{j}{\pi N} \frac{2\omega}{\omega_1 - \omega} \overline{\zeta}_+ \zeta_- \tag{4.11}$$

and

$$I = j \int_{-\infty}^{\infty} a_{\rm wz}(\tau) d\tau + \frac{1}{2} \int_{-\infty}^{\infty} (\phi_{\rm SK} - \omega_1) d\tau$$
(4.12)

$$= \left(j + \frac{1}{2}\right) \int_{-\infty}^{\infty} a_{\rm wz}(\tau) d\tau + \frac{1}{2} \int_{-\infty}^{\infty} (\phi_{\rm SK}' - \omega_1) d\tau, \qquad (4.13)$$

where a_{wz} is the kinetic term

$$a_{\rm WZ}(\tau) = \frac{\bar{z}_{\rm cl} z_{\rm cl} - \bar{z}_{\rm cl} \bar{z}_{\rm cl}}{1 + \bar{z}_{\rm cl} z_{\rm cl}} \tag{4.14}$$

in the classical action—the boundary terms having canceled with the $(1 + \overline{z}_f z_f)^j (1 + \overline{z}_i z_i)^j$ in the denominator. In Eq. (4.13), we have used the alternative form (2.17) of the Solari–Kochetov phase.

V. THE LMG MODEL

In this section we will evaluate the tunnel splitting in the relatively simple case of the Lipkin–Meshkov–Glick (LMG) model.¹⁴

We will take the LMG Hamiltonian to be

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$$\hat{H} = \frac{w}{\sqrt{2}(2j-1)} (\hat{J}_{+}^{2} + \hat{J}_{-}^{2}) + \frac{jw}{\sqrt{2}}, \qquad (5.1)$$

with w > 0. For half-integer j, the splitting vanishes due to Kramers' theorem, and we will indicate below how this comes about. Unless stated otherwise, we will be thinking of integer j in what follows. Since $\hat{J}_{+}^2 + \hat{J}_{-}^2 = 2(\hat{J}_x^2 - \hat{J}_y^2)$, we see that the classical minima lie along $\pm \hat{y}$. The Hamiltonian which appears in the path integral is

$$H(\overline{z},z) = \frac{\langle z|\hat{H}|z\rangle}{\langle z|z\rangle} = \sqrt{2}jw\frac{z^2 + \overline{z}^2}{(1 + \overline{z}z)^2} + \frac{jw}{\sqrt{2}}.$$
(5.2)

By setting $\partial H/\partial z = \partial H/\partial \overline{z} = 0$, the classical minima are found to be at the points

$$(z,\overline{z}) = (i,-i), \quad (-i,i),$$
 (5.3)

which correspond to the $\pm \hat{y}$ directions of the Cartesian axes. The explicitly added constant in \hat{H} is chosen to make $H(\bar{z},z)$ zero at these points.

Now we write down the equations of motion for the instantons

$$\dot{z} = \sqrt{2}w \frac{z - \bar{z}^3}{(1 + \bar{z}z)},$$

$$\dot{z} = -\sqrt{2}w \frac{\bar{z} - z^3}{(1 + \bar{z}z)}.$$
 (5.4)

We seek a solution which goes from $(z_i, \overline{z}_i) = (-i, i)$ to $(z_f, \overline{z}_f) = (i, -i)$. The two equations in (5.4) can be decoupled by exploiting the energy conservation condition $H(\overline{z}, z) = 0$ which follows from the Hamiltonian nature of the trajectory. This can be written as

$$2(z^2 + \overline{z}^2) + 1 + 2\overline{z}z + \overline{z}^2 z^2 = 0,$$
(5.5)

and may be solved to yield z as a function of \overline{z} and vice versa:

$$\overline{z} = -i\frac{\sqrt{2}z+i}{z+\sqrt{2}i}, \quad z = -i\frac{\sqrt{2}\overline{z}+i}{\overline{z}+\sqrt{2}i}.$$
(5.6)

(Choosing the other solution of the quadratic equation yields instantons running in the opposite direction.) Substituting these formulas in the equations of motion yields

$$\dot{z} = -iw(1+\bar{z}^2), \quad \dot{z} = iw(1+z^2).$$
 (5.7)

These may be integrated by elementary means to yield

$$z_{\rm cl}(\tau) = i \frac{e^{2w\tau} - C}{e^{2w\tau} + C} = i \tanh w(\tau - \tau_0),$$
(5.8)

$$\overline{z}_{cl}(\tau) = -i \frac{e^{2w\tau} - C'}{e^{2w\tau} + C'} = -i \tanh w(\tau - \tau_0'),$$
(5.9)

where $C = e^{2w\tau_0}$, $C' = e^{2w\tau'_0}$. These constants are not independent. Energy conservation requires

$$\frac{C'}{C} = \frac{\sqrt{2} - 1}{\sqrt{2} + 1}.$$
(5.10)

It is useful at this point to find the frequencies ω , ω_1 and ω_2 . We have

$$\omega_1 = \frac{(1 + \overline{z}_i z_i)^2}{2j} \frac{\partial^2 H}{\partial z \partial \overline{z}} \bigg|_i, \quad \omega_2 = \frac{(1 + \overline{z}_i z_i)^2}{2j} \frac{\partial^2 H}{\partial z^2} \bigg|_i, \quad (5.11)$$

where the suffix i means that the derivatives are to be evaluated at the initial point. Carrying out the algebra, we obtain

$$\omega_1 = \frac{3}{\sqrt{2}}w, \quad \omega_2 = \frac{1}{\sqrt{2}}w.$$
 (5.12)

Hence,

$$\omega = (\omega_1^2 - \omega_2^2)^{1/2} = 2w.$$
(5.13)

We can now evaluate the Wess–Zumino and Solari–Kochetov terms in the tunneling action (4.13). We denote

$$I_{\rm WZ} = \left(j + \frac{1}{2}\right) \int_{-\infty}^{\infty} a_{\rm WZ}(\tau), \qquad (5.14)$$

$$I_{\rm SK} = \frac{1}{2} \int_{-\infty}^{\infty} (\phi'_{\rm SK} - \omega_1) d\tau.$$
 (5.15)

Let us begin with I_{WZ} . If we make use of Eq. (5.7), we find

$$a_{\rm WZ}(\tau) = \frac{1}{1 + \bar{z}z} (\dot{z}z - \bar{z}\dot{z}) = -iw(\bar{z} + z).$$
(5.16)

Substituting the explicit forms and performing the integration we get

$$I_{\rm WZ} = -\left(j + \frac{1}{2}\right) \ln(C/C') = -(2j+1)\ln(1+\sqrt{2}).$$
(5.17)

Now consider the Solari-Kochetov term. We find that

$$\phi_{\rm SK}' = -\frac{6w}{\sqrt{2}} \frac{(z^2 + \bar{z}^2)}{(1 + \bar{z}z)^2}.$$
(5.18)

By energy conservation this equals

$$\frac{3w}{\sqrt{2}},\tag{5.19}$$

which is precisely equal to ω_1 . Thus, $I_{\rm SK}$ vanishes, and the total tunneling action is

$$I = -(2j+1)\ln(1+\sqrt{2}). \tag{5.20}$$

We must now evaluate P. This consists of a product of various factors, all of which are to hand. Thus,

$$\frac{j}{\pi N} = \frac{j}{4\pi}.$$
(5.21)

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The factors $\overline{\zeta}_+$ and ζ_- are found by differentiating the formulas (5.8) and (5.9) and examining the limits $\tau \rightarrow \pm \infty$. In this we way we get

$$\zeta_{-}\overline{\zeta}_{+} = 4 \frac{C'}{C}.$$
(5.22)

Finally,

$$\frac{2\omega}{\omega_1 - \omega} = 4\sqrt{2}(3 + 2\sqrt{2}).$$
(5.23)

Putting these together, we obtain

$$P = -\frac{4j}{\pi}(4+3\sqrt{2})\frac{C'}{C} = \frac{4j}{\pi}\sqrt{2}.$$
 (5.24)

At this point we have almost all that we need to write down the answer for the tunnel splitting—except that we need to consider a second instanton. The trajectory (5.8) and (5.9) passes close to the north pole of the sphere. By symmetry there must be a second instanton which passes near the south pole. This is given by

$$z_{\rm cl} = i \coth w(\tau - \tau_0), \quad \overline{z}_{\rm cl} = -i \coth w(\tau - \tau_0').$$
 (5.25)

It is obvious by symmetry again that this instanton has exactly the same amplitude as the first, so the total amplitude (and thus the splitting) is obtained by simply doubling the answer from the first instanton. (For half-integer *j*, the amplitudes interfere destructively giving $\Delta = 0$.) Hence

$$\Delta = 16w \left(\frac{j}{\pi}\right)^{1/2} 2^{1/4} e^{-(2j+1)\ln(1+\sqrt{2})}.$$
(5.26)

This agrees with Refs. 21, 22, and 33. [In the last reference put $\xi^2 = 1/\sqrt{2}$ in Eqs. 4.31–4.34.] We show in Table I a comparison between this formula and numerical evaluation of Δ . The agreement gets better with increasing *j*, up to *j* = 18. After this value, Δ is close to the machine precision, and the error is largely in the numerical answer.²⁷

For completeness, we note that the average energy is given by $E_{av} = 1/2 (\omega - \omega_1)$.

VI. APPLICATION TO FE₈

The LMG model is of interest to us primarily because it provides a check of our formalism against other well-confirmed calculations. In this section we will calculate the tunnel splitting for a family of models that includes a realistic approximation to the molecular magnet Fe_8 . The spin-direction-dependent energy in Fe_8 is less symmetric than that of the LMG, and the relevant Hamiltonian includes an externally imposed magnetic field which serves to pull the classical minima off the equator of the unit sphere. It is the experimentally observed oscillations in the tunnel splitting as a function of the external field that makes this system interesting. The oscillations are a consequence of interference between the two distinct instanton trajectories and are accurately reproduced by our calculation.

We take as our Hamiltonian

$$\hat{H} = k_1 \hat{J}_z^2 + k_2 \hat{J}_y^2 - g \mu_B H \hat{J}_z, \qquad (6.1)$$

with $k_1 > k_2 > 0$. We define $\lambda = k_2/k_1$, $H_c = 2k_1 j/g \mu_B$ and

$$h = H/H_c \,. \tag{6.2}$$

TABLE I. Comparison between numerical and analytic [Eq. (5.26)] results for the ground state tunnel splitting in the LMG model with w = 1. Numbers in parentheses give the power of 10 multiplying the answer. The last column gives the deviation of the analytic answer from the numerical one. Note, however, that for j=19 and j=20, the splitting is getting close to the machine precision, and the error is largely in the numerical result.

j	Δ (numerical)	Δ (analytic)	Difference(%)
2	2.1878(-1)	1.8511(-1)	15.4
3	4.3279(-2)	3.8899(-2)	10.1
4	8.3587(-3)	7.7064(-3)	7.8
5	1.5781(-3)	1.4783(-3)	6.3
6	2.9339(-4)	2.7784(-4)	5.3
7	5.3948(-5)	5.1489(-5)	4.6
8	9.8372(-6)	9.4441(-6)	4.0
9	1.7820(-6)	1.7186(-6)	3.6
10	3.2111(-7)	3.1082(-7)	3.2
11	5.7611(-8)	5.5932(-8)	2.9
12	1.0298(-8)	1.0023(-8)	2.7
13	1.8352(-9)	1.7899(-9)	2.5
14	3.2618(-10)	3.1869(-10)	2.3
15	5.7836(-11)	5.6598(-11)	2.1
16	1.0233(-11)	1.0029(-11)	2.0
17	1.8157(-12)	1.7737(-12)	2.3
18	3.0813(-13)	3.1314(-13)	1.6
19	4.9021(-14)	5.5199(-14)	12.6
20	2.5766(-14)	9.7166(-15)	62.3

We will express all results in terms of the combinations λ and h. It is also convenient to define a 1/j corrected field \tilde{h} and anisotropy \tilde{k}_1 by

$$\tilde{h} = jh \left/ \left(j - \frac{1}{2}\right), \quad \tilde{k}_1 = k_1 \left(j - \frac{1}{2}\right) \right/ j.$$
(6.3)

We follow the same steps as in the LMG model. The "classical" Hamiltonian appearing in the path integral is

$$H(\overline{z},z) = \frac{\langle z|\hat{H}|z\rangle}{\langle z|z\rangle} = \tilde{k}_1 j^2 \left[\frac{(1-\overline{z}z)^2 - \lambda(z-\overline{z})^2 - 2\tilde{h}(1-\overline{z}^2z^2)}{(1+\overline{z}z)^2} \right].$$
(6.4)

(We now use states in which z=0 corresponds to the north pole, as this is more convenient. Also, a constant $(k_1+k_2) j/2$ has been subtracted from the classical energy.) The energy minima are now at the points

$$\overline{z} = z = \pm z_0, \tag{6.5}$$

where z_0 is real and given by

$$z_0 = \left[(1 - \tilde{h}) / (1 + \tilde{h}) \right]^{1/2}.$$
(6.6)

In Cartesian coordinates these minima lie in the xz plane—provided we confine ourselves to $\tilde{h} < 1$, which we shall do. In fact, we will assume that

$$\tilde{h} < \sqrt{1 - \lambda}. \tag{6.7}$$

At the two minima, the energy is

$$\boldsymbol{\epsilon}_0 = H(\bar{z}_0, z_0) = -\tilde{k}_1 j^2 \tilde{h}^2. \tag{6.8}$$

The classical equations of motion are

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$$\dot{\bar{z}} = \frac{\tilde{k}_{1}j}{(1+\bar{z}z)} \left[-2\bar{z}(1-\bar{z}z) + \lambda(\bar{z}-z)(1+\bar{z}^{2}) + 2\tilde{h}\bar{z}(1+\bar{z}z) \right],$$

$$\dot{z} = -\frac{\tilde{k}_{1}j}{(1+\bar{z}z)} \left[-2z(1-\bar{z}z) + \lambda(z-\bar{z})(1+z^{2}) + 2\tilde{h}z(1+\bar{z}z) \right].$$
(6.9)

We wish to solve these subject to the boundary conditions $z_i = z(-\infty) = z_0$, $\overline{z}_f = z(\infty) = -z_0$. Note that $\overline{z}_i = z_i$, $z_f = \overline{z}_f$, so the instanton end points still lie on the real sphere, but the rest of the instanton does not. Once again the equations can be decoupled by exploiting the fact that energy is conserved along the instanton trajectory. In this case $H(\overline{z}, z) = \epsilon_0$. This condition can be written as

$$(1 - \overline{z}z)^2 - \lambda(z - \overline{z})^2 - 2\tilde{h}(1 - \overline{z}^2 z^2) = -\tilde{h}^2(1 + \overline{z}z)^2,$$
(6.10)

and may be solved to give

$$\overline{z} = \frac{\sqrt{\lambda z \pm (1 - \widetilde{h})}}{\sqrt{\lambda \pm (1 + \widetilde{h})z}}.$$
(6.11)

Substituting this in the equation of motion for \dot{z} , and simplifying, we get

$$\dot{z} = \pm \sqrt{\lambda} (1 + \tilde{h}) \tilde{k}_1 j (z_0^2 - z^2).$$
(6.12)

We will see that to obtain instantons going from z_0 to $-z_0$, we must pick the minus sign in this equation. The other sign yields instantons running in the opposite direction.

It is now elementary to integrate Eq. (6.12), and use Eq. (6.11) to obtain the time dependence for both $z_{\rm cl}(\tau)$ and $\overline{z}_{\rm cl}(\tau)$. We find

$$z_{\rm cl}(\tau) = -z_0 \tanh t, \tag{6.13}$$

$$\overline{z}_{\rm cl}(\tau) = -z_0 \frac{\sqrt{\lambda} \tanh t + \sqrt{1 - \tilde{h}^2}}{\sqrt{\lambda} + \sqrt{1 - \tilde{h}^2} \tanh t}.$$
(6.14)

Here,

$$t = \omega \tau/2, \tag{6.15}$$

and the frequency ω is given by

$$\omega = 2\tilde{k}_1 j [\lambda (1 - \tilde{h}^2)]^{1/2}. \tag{6.16}$$

That this is the same ω that follows from Eqs. (3.16) and (3.17) shall be shown shortly. It can be seen that our solution corresponds to choosing the minus sign in Eq. (6.12) as asserted above. It is also useful to note that the solution (6.13) and (6.14) can be rewritten as

$$z_{\rm cl} = -z_0 \tanh t, \quad \overline{z}_{\rm cl} = -z_0 \coth(t+t_0),$$
 (6.17)

where

$$\tanh t_0 = \left(\frac{\lambda}{1 - \tilde{h}^2}\right)^{1/2}.$$
(6.18)

Equations (6.12) and (6.11) possess a second solution,

$$z_{\rm cl} = -z_0 \coth t, \quad \overline{z}_{\rm cl} = -z_0 \tanh(t+t_0).$$
 (6.19)

Formally, this new trajectory can be obtained from the first by the shift $t \rightarrow t + i \pi/2$. Alternatively, we could obtain it by switching the expressions for z_{cl} and \overline{z}_{cl} in Eqs. (6.13) and (6.14), which corresponds to reflection in the xz plane—a symmetry of the Hamiltonian—and then shifting t by $-t_0$.

Again we find the frequencies ω , ω_1 and ω_2 . We note that

$$\omega_1 = \frac{(1 + \overline{z}_i z_i)^2}{2j} \frac{\partial^2 H}{\partial z \partial \overline{z}} \bigg|_i, \quad \omega_2 = \frac{(1 + \overline{z}_i z_i)^2}{2j} \frac{\partial^2 H}{\partial z^2} \bigg|_i, \quad (6.20)$$

where the suffix *i* means that the derivatives are to be evaluated at the initial point $\overline{z} = z = z_i$. Carrying out the algebra, we obtain

$$\omega_1 = \tilde{k}_1 j (1 - \tilde{h}^2 + \lambda), \tag{6.21}$$

$$\omega_2 = \tilde{k}_1 j (1 - \tilde{h}^2 - \lambda). \tag{6.22}$$

We now use Eq. (3.17) to show that ω is given by Eq. (6.16). The same frequencies are found at the final point $\overline{z} = z = z_f$.

We next evaluate and integrate the Wess–Zumino and Solari–Kochetov terms in the tunneling action, denoting these by I_{WZ} and I_{SK} as before. Since the calculations are somewhat lengthy, it is best to do the two terms separately. We begin with I_{WZ} , considering instanton 1, i.e., that given by (6.13) and (6.14). After some algebra, we obtain

$$a_{\rm WZ}(\tau) = -\frac{\pi_2(\tanh t)}{\pi_3(\tanh t)} \frac{\omega}{2} \operatorname{sech}^2 t, \qquad (6.23)$$

where π_2 and π_3 are polynomials of degree 2 and 3, whose explicit form we do not require. What we do need is the differential $a_{WZ}d\tau$. If we make the substitution

$$v = \tanh t, \tag{6.24}$$

and factorize the polynomials π_2 and π_3 , we obtain

$$\int_{-\infty}^{\infty} a_{\rm WZ}(\tau) d\tau = -\int_{-1}^{1} \frac{(v-v_3)(v-v_4)}{(v-v_1)(v-v_2)(v-v_5)} dv,$$
(6.25)

where

$$v_{1,2} = \frac{1}{\sqrt{\lambda}} \left(\frac{1+\tilde{h}}{1-\tilde{h}} \right)^{1/2} (-1 \pm \sqrt{1-\lambda}),$$
 (6.26)

$$v_{3,4} = \frac{-\sqrt{1-\tilde{h}^2} \pm \sqrt{1-\tilde{h}^2 - \lambda}}{\sqrt{\lambda}},\tag{6.27}$$

$$v_5 = -\frac{\sqrt{\lambda}}{\sqrt{1 - \tilde{h}^2}}.$$
(6.28)

The integral is best done by decomposing the integrand into partial fractions. We find

$$\frac{(v-v_3)(v-v_4)}{(v-v_1)(v-v_2)(v-v_5)} = \frac{1}{v-v_5} + \frac{\beta}{v-v_1} - \frac{\beta}{v-v_2},$$
(6.29)

where

$$\beta = -\frac{\tilde{h}}{\sqrt{1-\lambda}}.$$
(6.30)

Thus,

$$\int_{-\infty}^{\infty} a_{WZ} d\tau = -\left[\ln\left(\frac{1-v_5}{-1-v_5}\right) + \beta \ln\left(\frac{1-v_1}{-1-v_1}\right) - \beta \ln\left(\frac{1-v_2}{-1-v_2}\right)\right].$$
 (6.31)

The ratio involving v_5 is

$$\frac{1-v_5}{-1-v_5} = \frac{\sqrt{\lambda} + \sqrt{1-\tilde{h}^2}}{\sqrt{\lambda} - \sqrt{1-\tilde{h}^2}} \equiv \tilde{R}_1, \qquad (6.32)$$

while the β terms combine to yield the logarithm of

$$\frac{1 - v_1 v_2 + (v_2 - v_1)}{1 - v_1 v_2 - (v_2 - v_1)} = \frac{\tilde{h} \sqrt{\lambda} + \sqrt{1 - \lambda} \sqrt{1 - \tilde{h}^2}}{\tilde{h} \sqrt{\lambda} - \sqrt{1 - \lambda} \sqrt{1 - \tilde{h}^2}} \equiv \tilde{R}_2.$$
(6.33)

Collecting together the various parts, we have

$$I_{\text{WZ},1} = -\left(j + \frac{1}{2}\right) \ln \tilde{R}_1 + \left(j + \frac{1}{2}\right) \frac{\tilde{h}}{\sqrt{1 - \lambda}} \ln \tilde{R}_2.$$
(6.34)

We have added another suffix to show that this pertains to instanton 1.

The next step is to integrate the Solari–Kochetov term. For this we first need ϕ'_{SK} . From Eqs. (6.4) and (2.17) we find

$$\phi_{SK}' = \frac{\tilde{k}_1 j}{(1 + \bar{z}z)^2} \left[-2(1 - 4\bar{z}z + (\bar{z}z)^2) + \lambda((1 + \bar{z}z)^2 + 3(\bar{z} - z)^2) + 2\tilde{h}(1 - \bar{z}^2 z^2) \right].$$
(6.35)

(The reader may verify that as $\tau \rightarrow \pm \infty$, $\phi'_{SK} \rightarrow \omega_1$. This provides a check on our earlier calculation of ω_1 .) After a little more work, we find

$$\phi_{\rm SK}' - \omega_1 = \frac{\tilde{k}_1 j}{(1 + \bar{z}z)^2} [-3(1 - \bar{z}z)^2 + 3\lambda(\bar{z} - z)^2 + 2\tilde{h}(1 - \bar{z}^2 z^2) + \tilde{h}^2(1 + \bar{z}z)^2].$$
(6.36)

This quantity is the integrand in Eq. (5.15) for I_{SK} , and so it only needs to be evaluated along the instanton trajectories. We may simplify the calculation by using energy conservation to eliminate the term in λ . When this is done, we obtain

$$I_{\rm SK} = 2\tilde{k}_1 j\tilde{h} \int_{-\infty}^{\infty} d\tau \frac{-(1-\tilde{h}) + (1+\tilde{h})\bar{z}z}{1+\bar{z}z}.$$
(6.37)

The integrals are evaluated in the same way as I_{WZ} . With the same change of variables, and definitions of v_1 to v_5 as before, we get

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$$I_{\rm SK} = -\frac{2\tilde{h}(1-\tilde{h}^2)^{1/2}}{\sqrt{\lambda}(1-\tilde{h})} \int_{-1}^{1} \frac{dv}{(v-v_1)(v-v_2)} = -\frac{\tilde{h}}{\sqrt{1-\lambda}} \int_{-1}^{1} \left[\frac{1}{v-v_1} - \frac{1}{v-v_2}\right] dv$$
$$= -\frac{\tilde{h}}{\sqrt{1-\lambda}} \ln \tilde{R}_2.$$
(6.38)

Note that this is O(1/j) relative to the Wess–Zumino contribution. Adding together the two contributions, we obtain the total action

$$I = -\left(j + \frac{1}{2}\right) \ln \tilde{R}_1 + \frac{jh}{\sqrt{1 - \lambda}} \ln \tilde{R}_2.$$
(6.39)

In the second term we have used the formula $(j - 1/2)\tilde{h} = jh$.

We now turn to the prefactor P. In evaluating this, we may ignore differences of order 1/j, i.e., we may replace \tilde{j} by j, \tilde{h} by h, etc. The quantity consists of a product of various factors, all of which are already available. Thus,

$$\frac{j}{\pi N} = \frac{j}{\pi (1+z_0^2)^2}.$$
(6.40)

The factors $\overline{\zeta}_+$ and ζ_- are found by differentiating the formulas (6.13) and (6.14) and examining the limits $\tau \rightarrow \pm \infty$. In this we way we get

$$\zeta_{-} = -2z_0, \tag{6.41}$$

$$\overline{\zeta}_{+} = 2z_0 \frac{\sqrt{1-h^2} - \sqrt{\lambda}}{\sqrt{1-h^2} + \sqrt{\lambda}}.$$
(6.42)

Finally,

$$\frac{2\omega}{\omega_1 - \omega} = 4 \frac{\sqrt{\lambda(1 - h^2)}}{(1 - h^2 + \lambda) - 2\sqrt{\lambda(1 - h^2)}} = 4 \frac{\sqrt{\lambda(1 - h^2)}}{[\sqrt{1 - h^2} - \sqrt{\lambda}]^2}.$$
 (6.43)

Making use of the identity

$$\frac{2z_0}{1+z_0^2} = (1-h^2)^{1/2},$$
(6.44)

we obtain

$$P = -\frac{4j}{\pi} \frac{\lambda^{1/2} (1-h^2)^{3/2}}{1-h^2 - \lambda}.$$
(6.45)

We can now obtain the contribution of instanton 1 to the tunneling amplitude by substituting Eqs. (6.39) and (6.45) in the general formula (4.10). Denoting this quantity by Δ_1 , we have

$$\Delta_1 = 2\omega \sqrt{|P|} e^{I - i\pi/2},\tag{6.46}$$

where the additional factor of $e^{-i\pi/2}$ arises from the fact that P < 0.

It remains to obtain the tunneling amplitude Δ_2 from the second instanton. Because the two instantons are related by a complex shift in t, it is apparent that the actions $I_{1,2}$ (where we temporarily add suffixes to distinguish the two) and the prefactors $P_{1,2}$ will be given by the same analytic expressions. However, the phases to be assigned to the actions and \sqrt{P} are somewhat ambiguous. Unlike the case of a particle moving in one dimension, the prefactor in the general formula does not arise as the determinant of a Hermitian quadratic form, and there is no unambiguous way for factors of *i* to get partitioned between the prefactor and the exponent. The surest way of fixing the relative phases is to appeal to a physical argument. Alternatively, this can be regarded as fixing the signs of the amplitudes a_i and a_f .

For the Fe₈ Hamiltonian (6.4), let us work in the J_z basis $|j,m\rangle$ with the standard definition of the raising and lowering operators J_{\pm} , so that the matrix elements $\langle j,m\pm 1|J_{\pm}|j,m\rangle$ are all real. Then the matrix of \hat{H} is completely real, and since it is Hermitian, all its eigenvalues and eigenvectors are also real. Second, since $z_i = z_0$ and $z_f = -z_0$ are real, the states $|z_{i,f}\rangle$ are real, i.e., all the matrix elements $\langle j,m|z_{i,f}\rangle$ are real. Thus the amplitudes a_i and a_f are real. It follows that the amplitude K is real, and so is the one-instanton contribution to it, i.e., $\Delta_1 + \Delta_2$ is real. Therefore, we must have

$$\Delta_2 = \Delta_1^* \,. \tag{6.47}$$

Equation (6.47) determines Δ_2 , and the energy splitting Δ completely. However, it is still useful to investigate the origin of the phase difference in the actions a little more closely. As readers will have noticed already, the integrand in Eq. (6.25) is singular at $v = v_2$ and $v = v_5$, since for $\tilde{h} < \sqrt{1-\lambda}$,

$$v_1 < -1, -1 < v_2 < 1, -1 < v_5 < 1.$$
 (6.48)

Correspondingly, both \tilde{R}_1 and \tilde{R}_2 are negative, and both $\ln \tilde{R}_1$ and $\ln \tilde{R}_2$ must be interpreted to have an imaginary part of π modulo an integer multiple of 2π . The question is what the assignment should be for the two instantons. We can see this most easily by examining the difference ΔI_{WZ} $= I_{WZ,2} - I_{WZ,1}$. To this end, we note that the WZ one-form may be written as a complex one-form in the *z* plane,

$$a_{WZ}d\tau = \frac{1}{1+z\overline{z}(z)} \bigg[z\frac{d\overline{z}}{dz} - \overline{z}(z) \bigg] dz \equiv F(z)dz,$$
(6.49)

with $\overline{z}(z)$ given by Eq. (6.11). Thus, I_{WZ} may be written as a z-plane contour integral of F(z) from z_0 to $-z_0$. In fact, apart from a scale factor of z_0 , the substitution (6.24) is tantamount to changing the integration variable to z, so we see that F(z) has poles at z_0v_2 and z_0v_5 (the one at z_0v_1 does not matter). The two instantons go around these poles in opposite senses, so ΔI_{WZ} is given by integrating F(z) along a closed contour from z_0 to $-z_0$ and back to z_0 :

$$\Delta I_{\rm WZ} = (2j+1) \oint F(z)dz. \tag{6.50}$$

The residues at the poles can be read off the partial fraction decomposition (6.29), yielding

$$I_{WZ,2} - I_{WZ,1} = (2j+1)\pi \left[1 - \frac{\tilde{h}}{\sqrt{1-\lambda}}\right].$$
(6.51)

This is precisely what we would obtain from Eq. (6.47), for that would have us assign $\pm i\pi$ for $\ln \tilde{R}_1$ (and $\ln \tilde{R}_2$) for the two instantons.

The energy splitting is given by

$$\Delta = \Delta_1 + \Delta_2^* \,. \tag{6.52}$$

To compare with previous results, it is useful to rewrite this as follows. Consider the real part of the action, Eq. (6.39),



FIG. 1. Comparison between numerical (solid line) and analytic [Eq. (6.55), dashed line] results for the splitting between the two lowest levels in the Fe₈ model. The parameters are k_1 =0.321 K, k_2 =0.229 K, close to the measured values.

$$\Gamma_0 = -\operatorname{Re} I = \left(j + \frac{1}{2}\right) \ln|\tilde{R}_1| - \frac{jh}{\sqrt{1 - \lambda}} \ln|\tilde{R}_2|.$$
(6.53)

The ratios \tilde{R}_1 and \tilde{R}_2 are defined in terms of the field \tilde{h} . If we write $\tilde{h} = h + O(1/j)$, and expand in powers of 1/j, we discover that

$$\Gamma_0 = \left(j + \frac{1}{2}\right) \ln|R_1| - \frac{jh}{\sqrt{1 - \lambda}} \ln|R_2| + O(j^{-1}), \tag{6.54}$$

where R_i is obtained from \tilde{R}_i by simply deleting the tildes above the *h*'s. Note that the corrections are of O(1/j), not O(1). These are beyond the accuracy to which we are working, so we simply drop them henceforth.

Thus, the complete expression for the splitting is

$$\Delta = \sqrt{\frac{8}{\pi}} \,\omega F^{1/2} e^{-\Gamma_0} \cos \Lambda. \tag{6.55}$$

We give the expressions for F, Γ_0 and Λ for ready reference:

$$F = 8j \frac{\lambda^{1/2} (1-h^2)^{3/2}}{1-h^2 - \lambda},$$
(6.56)

$$\Gamma_{0} = \left(j + \frac{1}{2}\right) \ln\left[\frac{\sqrt{1 - h^{2}} + \sqrt{\lambda}}{\sqrt{1 - h^{2}} - \sqrt{\lambda}}\right] - \frac{jh}{\sqrt{1 - \lambda}} \ln\left[\frac{\sqrt{(1 - \lambda)(1 - h^{2})} + h\sqrt{\lambda}}{\sqrt{(1 - \lambda)(1 - h^{2})} - h\sqrt{\lambda}}\right],$$
(6.57)

$$\Lambda = \operatorname{Im} I - \frac{\pi}{2} = j \,\pi \left(1 - \frac{h}{\sqrt{1 - \lambda}} \right). \tag{6.58}$$

Our answer for Δ is identical to that found by means of the discrete WKB method in Ref. 34 [see Eqs. (5.1)–(5.5)]. Naturally, the points at the which the tunnel splitting vanishes are the same, too. In Fig. 1, we compare our result with a numerical evaluation of Δ . The error rises from $\sim 1.5\%$ at h=0 to $\sim 35\%$ at the largest values of h shown. However, given that our formula is only asymptotically valid as $j \rightarrow \infty$ for fixed h, and that it fits the overall behavior over five orders of magnitude, this is quite acceptable. The approximation is clearly not uniform in h. The energy barrier decreases with increasing h, and since semiclassical answers for splittings are generally more accurate the higher the barrier, the trend in the error is not surprising either.

The nontrivial aspect of this calculation is that there are 1/j corrections in the quenching condition. If we simply take the energy expectation $H(\bar{z},z) = \langle z | \hat{H} | z \rangle / \langle z | z \rangle$ in the Wess–Zumino

term, we have the problem that the anisotropy and field terms scale with j differently if 1/j corrections are included. This is how the quenching condition was found in Ref. 9, but the 1/j corrections were never considered, so it was somewhat serendipitous that the condition that was stated turned out to be rigorously correct. By including the SK correction, this deficiency is now repaired.

VII. DISCUSSION

We have shown in this article how to extend to the spin coherent-state path integrals, the methods used to calculate tunnel splittings from the Feynman path integral. Key to this extension is the inclusion of the extra phase of Solari and Kochetov. The examples we discuss show that with this inclusion, the spin coherent-state path integral is accurate and effective. It must therefore be possible to put the spin coherent-state path integral on the same sound mathematical footing as the conventional Feynman integral.

Our calculations also bear on the old question of the correct "tunnelling action" for spin. In their complex periodic orbit study of the rotational spectrum of the SF_6 molecule for example, Robbins *et al.*¹³ take, without proof, the differential of the action to be

$$dS = \left(j + \frac{1}{2}\right) \cos \theta d\phi, \tag{7.1}$$

where θ and ϕ are the usual spherical polar coordinates. Harter and Patterson¹² use the quantity $[j(j+1)]^{1/2}$ instead of (j+1/2). These are both attempts to include the first quantum corrections. From our perspective, these corrections are somewhat ambiguously defined, since they could equally well be absorbed into the prefactor *P* in the splitting. Even if we do regard Eq. (4.13) as the tunneling action, it is clear that there is no universal $j \rightarrow j + 1/2$ rule. The Solari–Kochetov term must be included. This term makes no contribution when it is a constant (and therefore equal to ω_1). This happens in two very commonly studied cases: $\mathcal{H}=\mathbf{J}\cdot\mathbf{H}$ (Larmor precession), and $\mathcal{H}=g_{ik}J_iJ_k$, i,k=x,y,z (a homogeneous second order polynomial in J_x , J_y , and J_z). Indeed, the special LMG model studied in Sec. V is of the second type. In general, however, the Solari–Kochetov phase will influence the first quantum corrections in any other semiclassical formula.

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APPENDIX: ZERO-POINT MOTION PROPAGATOR

Here we derive Eq. (4.6). We first apply an SU(2) rotation to

$$H_{\text{initial}}(\bar{z},z) = \frac{2j}{(1+z_i^*z_i)^2} \left[\omega_1(z-z_i)(\bar{z}-z_i^*) + \frac{1}{2}\omega_2(z-z_i)^2 + \frac{1}{2}\omega_2^*(\bar{z}-z_i^*)^2 \right]$$
(A1)

in order to place z_i , \overline{z}_i at the origin, and to make the coefficient ω_2 real. The result is

$$H(\bar{z},z) = 2j \bigg[\omega_1 \bar{z}z + \frac{1}{2} \omega_2 z^2 + \frac{1}{2} \omega_2 \bar{z}^2 \bigg].$$
(A2)

In the semiclassical limit, $2j \ge 1$, we may ignore the curvature of the phase space and, after rescaling $\sqrt{2jz} \rightarrow z$ to account for the difference in the coefficient in the kinetic terms, identify $H(\overline{z},z)$ with the coherent state classical Hamiltonian for the squeezed harmonic oscillator

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$$\hat{H} = \omega_1 a^{\dagger} a + \frac{1}{2} \omega_2 (a^{\dagger^2} + a^2).$$
(A3)

The Bogoliubov transformation

$$b = \cosh \theta a + \sinh \theta a^{\dagger},$$

$$b^{\dagger} = \sinh \theta a + \cosh \theta a^{\dagger},$$
(A4)

reduces the Hamiltonian

$$\hat{H}_{\text{squeezed}} = \Omega \cosh 2 \,\theta (a^{\dagger}a + \frac{1}{2}) + \frac{1}{2} \,\Omega \,\sinh 2 \,\theta (a^{\dagger^2} + a^2) \tag{A5}$$

to

$$\hat{H}_{\text{squeezed}} = \Omega(b^{\dagger}b + \frac{1}{2}), \tag{A6}$$

and so we identify

$$\Omega = \omega = \sqrt{\omega_1^2 - \omega_2^2},$$

$$\Omega \cosh 2 \theta = \omega_1,$$
(A7)

$$\Omega \sinh 2 \theta = \omega_2.$$

The eigenvalues of \hat{H} are therefore

$$E_n = \omega(n + \frac{1}{2}) - \frac{1}{2}\omega_1.$$
 (A8)

The operators $a^{\dagger}a$, a^2 and a^{\dagger^2} generate the Lie algebra su(1,1). Therefore either the flat phasespace coherent state path integral or standard su(1,1) disentangling methods^{35,36} can be used to derive

$$\langle \zeta_f | e^{-\hat{H}T} | \zeta_i \rangle = D^{-1/2} \exp\{D^{-1}(\overline{\zeta}_f \zeta_i - \frac{1}{2} \sinh 2\theta \sinh \omega T(\overline{\zeta}_f^2 + \zeta_i^2))\} e^{-1/2\omega_1 T},$$
(A9)

where

$$D = e^{\omega T} \cosh^2 \theta - e^{-\omega T} \sinh^2 \theta, \qquad (A10)$$

and the harmonic oscillator coherent states $|\zeta\rangle$ are defined by

$$|\zeta\rangle = \exp \zeta a^{\dagger}|0\rangle, \quad a|0\rangle = 0.$$
 (A11)

In the large-*T* limit, and with ζ_i and $\overline{\zeta}_f$ both at the origin, this reduces to

$$\langle 0|e^{-\hat{H}T}|0\rangle \rightarrow (\cosh\theta)^{-1}e^{-(1/2)(\omega-\omega_1)T} = \sqrt{\frac{2\omega}{\omega+\omega_1}}e^{-(1/2)(\omega-\omega_1)T}.$$
 (A12)

We now rotate back to the original z_i . Taking note of the transformation properties of the $|z\rangle$'s, we get

$$K_{i} = (1 + \bar{z}_{i} \bar{z}_{i})^{2j} \sqrt{\frac{2\omega}{\omega + \omega_{1}}} e^{-(1/2)(\omega - \omega_{1})T},$$
(A13)

as claimed.

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